

Barclays PLC

Barclays Bank PLC

New York State Department of Financial Services publishes final consent order following an investigation into matters involving Barclays' whistleblowing programme

On 11 May 2018 Barclays PLC announced that the FCA and PRA had concluded their regulatory processes in relation to the investigation of certain matters involving Barclays' whistleblowing programme. As indicated in that announcement, discussions with authorities in the US were ongoing.

Barclays Bank PLC and Barclays Bank PLC, New York Branch ("Barclays") have reached a settlement with the New York State Department of Financial Services ("NYDFS") in respect of its investigation into the same matters. The NYDFS has published a Consent Order describing its factual findings and is imposing a civil monetary penalty of US\$15 million on Barclays for failings in Barclays' whistleblowing programme.

Barclays has further agreed to undertake additional reporting and remediation undertakings in respect of its whistleblowing programme.

All regulatory investigations relating to these events are now concluded.

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About Barclays

Barclays is a transatlantic consumer and wholesale bank, offering products and services across personal, corporate and investment banking, credit cards and wealth management, with a strong presence in our two home markets of the UK and the US.

With over 325 years of history and expertise in banking, Barclays operates in over 40 countries and employs approximately 80,000 people. Barclays moves, lends, invests and protects money for customers and clients worldwide.

For further information about Barclays, please visit our website home.barclays">home.barclays